Leveraging Financing Opportunities to Sustain and Expand Systems of Care: The Affordable Care Act and Title IV-E Demonstration Waivers

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Our Objectives: You Will Learn...

- Differences in requirements for and benefits of Health Home and 1915(i) State Plan Amendments (SPAs) and IV-E Waivers
- Key considerations in the use of Health Home and 1915(i) SPAs for implementation and expansion of Care Management Entity (CME) and Wraparound models to serve cross-agency youth with complex needs and their families
- How to develop rates and make the case for use of the CME and Wraparound models for children and youth with serious behavioral health challenges
- How to further expand the reach of Affordable Care Act (ACA) implementation through the use of Medicaid State Plan Services, Title IV-E Demonstration Waivers, and other financing opportunities to expand the array of home- and community-based options for youth and families involved in public child- and family-serving systems, including the child welfare system

Mental health is the costliest health condition of childhood

<table>
<thead>
<tr>
<th>Condition</th>
<th>Billions of Dollars</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mental Health Disorders</td>
<td>$8.00</td>
</tr>
<tr>
<td>Asthma</td>
<td>$3.10</td>
</tr>
<tr>
<td>Trauma Related Conditions</td>
<td>$0.90</td>
</tr>
<tr>
<td>Acute Bronchitis</td>
<td>$0.20</td>
</tr>
<tr>
<td>Infectious Diseases</td>
<td>$0.10</td>
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</table>
Costs of Care: Results from MD’s PRTF Demonstration

- Youth enrolled in the PRTF Demonstration Grant and served by the CME had an average per member, per year cost of care of $32,987 (Medicaid costs only; n=174)
- Youth enrolled in a PRTF during the same time (not served by the CME) had an average per member, per year cost of care of $153,417 (Medicaid costs only; n=1,119)
- These costs include the capitated MCO rate, medications, inpatient hospitalizations, oral health care, home health services and all services covered by Medicaid.

Tanner Period: September 09, 2009 to May 31, 2011 (Excludes period through 12/30/11)

Source: Medicaid claims data provided by the University of Maryland under the CMMI Grant by Developmental Grant (November 2011)
Maryland’s Public Behavioral Health System

- Maryland has an 1115 Waiver, which creates the following structures for Medicaid service delivery:
  - HealthChoice—MCOs for somatic health and dental
  - Behavioral Health Care-Out—Fee-For-Service
  - Administrative Service Organizations (ASO)—ValueOptions (new
    – referred to here as the CME Model)
  - Medicaid’s 1115(i) Waiver expands the fee for service PBHS and
    includes the following components: the Plan of Care, the
    Coordination of Services and Payment Methodology (CoS
    & Payment)

- Discretionary Funds (CME Only) - General funds allocated per youth per day to support components of the Plan of Care not otherwise funded. (All State-only or grant funds)

- Residential Services
  - Medicaid funds inpatient or RTC stays (Medical Necessity)
    - Custodial agency funds group home or foster care placement, with some Medicaid reimbursements through the Rehab Option

- Behavioral Health Homes—Designed around the population of adults with SPMI, although youth with SED can be served

Maryland: 1115 Waiver & Behavioral Health Care-Out; 1915(i) SPA in submission process

Simplified Version of Maryland’s Public Behavioral Health System Service Array for Youth

Maryland has a traditional but robust PBHS for children and youth with Medicaid and MCHP

Other services and supports outside the Public Behavioral Health System include Multi Systems Therapy, Functional Family Therapy, Parent-Child Interaction Therapy, Treatment Foster Care, Peer Support, and Community-Based and Natural Supports. Eligibility will depend on the faxer and associated parameters for each individual service.
**Maryland Medicaid Health Homes**

**Participant Eligibility:**
- Adults & Children (life span)
- Serious persistent mental illness (SPMI), opioid substance use disorders (determined to be at risk for a second chronic condition), or children with serious emotional disturbance (SED)
- Enrolled to receive psychiatric rehabilitation program (PRP), mobile treatment, or opioid treatment program (OTP) services from a Health Home provider

**Provider Eligibility:**
- Enrolled as a Medicaid provider with the State of Maryland
- Licensed as a PRP, Mobile Treatment, or OTP provider
- Accredited, or in the process of seeking Health Home accreditation
- Able to provide Health Home services

**Staffing Requirements:**
- Nurse Case Manager (.5 FTE per 125 participants)
- Health Home Director (.5 FTE per 125 participants)
- Physician or Nurse Practitioner (3.5 hours per participant, per year)

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**Proposed Services under the 1915(i)**

Maryland has a robust public mental health system; these services will fill gaps in the current home- and community-based provider array:
- Care Coordination (provided by CCO who is a TCM Provider)
- Community-Based Respite Care
- Out-of-Home Respite
- Family Peer Support
- Mobile Crisis Response and Stabilization
- Intensive In-Home Services (differentiated from Therapeutic Behavioral Services and from Psychiatric Rehabilitation Programs)
- Expressive & Experiential Behavioral Services (art, dance, drama, music, equine, horticultural)
- Customized Goods and Services

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**Sample Budget for 1 Parent Support Provider**

<table>
<thead>
<tr>
<th>Personnel</th>
<th>Annual Amount or Rate</th>
<th>FTE</th>
<th>Salary Cost</th>
<th>Fringe Benefits (20%)</th>
<th>Salary + Fringe Cost</th>
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<tbody>
<tr>
<td>Family Support Partner</td>
<td>$39,354</td>
<td>0.6</td>
<td>$35,384</td>
<td>$6,935</td>
<td>$42,319</td>
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<td>Family Support Partner Supervisor</td>
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<td>0.15</td>
<td>$3,650</td>
<td>$700</td>
<td>$4,350</td>
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<tr>
<td>Administrative Assistant</td>
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<td>0.15</td>
<td>$3,650</td>
<td>$700</td>
<td>$4,350</td>
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<tr>
<td>Billing Support Specialist</td>
<td>$39,354</td>
<td>0.1</td>
<td>$1,750</td>
<td>$350</td>
<td>$2,100</td>
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<tr>
<td>Navigator</td>
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<td>0.5</td>
<td>$49,500</td>
<td>$9,900</td>
<td>$59,400</td>
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<tr>
<td>Total</td>
<td></td>
<td></td>
<td>$13,413</td>
<td>$2,646.50</td>
<td>$16,059</td>
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</tbody>
</table>

**Other Costs**
- Rent ($100/occupant FTE) $3,260.00
- Cellular Phones, Internet & Communications ($510/month per FTE) $1,782.00
- Meals ($200/mo per person @ $5.00/mo) $1,588.00
- Office supplies and maintenance (including copying, supplies) @ $150 per FTE $1,013.00
- Management Information System User Fees ($850/FT) $763
- Insurance (general liability, professional liability) @ $1,000 per FTE $1,300
- Indirect Cost (5% of modified total direct costs) $5,326
- **Total Cost FTE** $87,711.69

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**Provision of Family Peer Support**

- Family peer support is available to families enrolled in care coordination. Family peer support specialists are employed through family support organizations.
- Family Support Specialists must meet the following requirements:
  - Be at least 21 years old
  - Receive supervision form an individual who is at least 21 years old and has at least 3 years of experience providing peer support
  - Have current or prior experience as a caregiver of a child with a SED or young adult with SMI
  - Be enrolled in the Wraparound Practitioner Certificate Program for Family Support Partners
- Under the 1915(i), family peer support will be reimbursed at $15.97 per 15 minute for face-to-face services or $7.98 per 15 minute unit for telephonic or non-face-to-face activities
- Youth enrolled in the CME can access peer support through discretionary funds
Provided HHS with authority to grant up to 10 waivers in each of FFY 2012, 2013 and 2014.

Maryland’s Title IV-E Waiver Application


Maryland’s Proposed IV-E Waiver Interventions

- Trauma-Informed System
- Alternative Response
- Post-Permanency Support
- Evidence-Based & Evidence-Informed Practices—May include
  - For children 0-8:
    - Family Connections/Trauma-Adapted Family Connections
    - Homelbuilds
    - Parent-Child Interaction Therapy (PCIT)
    - Parent Management Training, Oregon Model (PMTO)
    - SafeCare
  - For children 14-17:
    - Family Connections/Trauma-Adapted Family Connections
    - Functional Family Therapy
    - Homelbuilds
    - Multi-Systemic Therapy
    - Parent Management Training, Oregon Model (PMTO)
    - Trauma-Focused Cognitive Behavioral Therapy

Putting the pieces together

- Building upon Place Matters, Youth Matter, Ready by 21, Alternative Response & Existing Children’s Cabinet Initiatives
- Related Title XIX (Medicaid) Initiatives: 1915(i) SPA, Behavioral Health Integration
- Other Related Federal Initiatives: SOC Grants, Thrive@25, Project LAUNCH, Race to the Top Early Learning Challenge Grant, Promise Heights
- Accessing Existing Technical Assistance (The TA Network, National Center on Evidence-Based Practice in Child Welfare, National Child Welfare Workforce Institute, The Institute for Innovation & Implementation, & the Family-Informed Trauma Treatment Center
The hard work continues...

- Ripple effects of Targeted Case Management State Plan Amendment—Maryland now has to submit a 1915(b) State Plan Amendment
- Consideration of future 1915(i) for early childhood
- Potential for permanent implementation of the 1915(c) waiver authority for PRTF level of care

Work as a team to plow through barriers!

Stay informed & pay attention!

- Federal bulletins and guidance
- Technical assistance webinars and briefs
- Newsletters and e-mail blasts

Resources

Federal Medicaid Guidance:

Other Resources:
- CHIPRA Care Management Entity Quality Collaborative http://www.chcs.org/info‐url_nocat3961/info‐url_nocat_show.htm?doc_id=1250388

Maryland Links

- Pending Medicaid 1915(i) and TCM SPAs: http://dhmh.maryland.gov/bhd/SitePages/1915i%20State%20Plan%20Amendment%20for%20Community%20Options%20for%20Children%20Youth%20and%20Families.aspx
- Medicaid Behavioral Health Home SPA: http://dhmh.maryland.gov/bhd/SitePages/Health%20Homes.aspx
- Pending Title IVE Child Welfare Waiver Application: http://www.dhr.state.md.us/documents/Data%20and%20Reports/SAA/Maryland%202014%20Title%20IVE%20Waiver%20Application.pdf

Contact us!

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